# **Brent Multi-Agency** Safeguarding Adults **Committee**

ANNUAL REPORT

**APRIL 2008 – MARCH 2009** 

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### **EXECUTIVE SUMMARY**

### 1. This is the fifth annual report of the Brent Safeguarding Adults Board

### 2. **Brent Safeguarding Adults Board and Sub-Groups**

The Safeguarding Adults Committee became the Safeguarding Adults Board in 2008, reflecting a shift towards the wider safeguarding agenda. The move from one Operational Sub-group to 4 sub-groups of the Board has enabled different elements of the Strategic Plan to be taken forward, as well as enabling further engagement for agencies within Brent. The Board continued to meet quarterly with stronger representation from partner agencies being observed. Membership of the sub-groups continues to be consolidated.

## Commission for Social Care Inspection: Wellness, Independence and **Choice Inspection**

The Commission for Social Care Inspectorate undertook an inspection between March and April 2008. It focused on two themes: Safeguarding Adults, which covered the four client areas and Personalisation which focused on older people. Brent was found to have adequate arrangements in relation to Safeguarding.

An action plan resulting from the inspection was developed focusing on the recommendations from CSCI. This action plan has been monitored regularly by Adult Community Care Senior Management with updates being reported at each Safeguarding Adults Board meeting.

### 4. **Quality Assurance Framework**

- Quarterly case file audits
- Service User Survey
- Monthly Service area meetings
- Development of policies relating to the Deprivation of Liberty Safeguards.
- Expansion of Safeguarding Adults Team to include a Senior Practitioner and Support Officer for Mental Capacity/Deprivation of Liberty Safeguards

### 5. **Quantitative analysis**

Referral Activity 2008-09

- ▶ 254 referrals were received a 20% increase on last year.
- > The majority of referrals were again for older people, with a 42 % increase relating to referrals of older people.
- > The majority of referrals related to alleged abuse in a client's own home.
- The main form of abuse was financial, followed by physical.
- > Thirty-nine per cent of all concluded cases were substantiated.
- For substantiated cases relatives, including spouses, were the main perpetrators followed by care professionals.

### 6. **National/London Developments**

- A consultation of the review of 'No Secrets' concluded end of January 2009, the Safeguarding Adults Board submitted a response
- Deprivation of Liberty Safeguards were incorporated into the Mental Health Act, these will come into force in April 2009.
- National Data Monitoring Requirements (Oct 09 March 10)
- Development of Pan -London Safeguarding Adults Policy and Procedures
- Strengthened Pan London Safeguarding Arrangements

### ANNUAL REPORT

### Who Are We Protecting? 1.

The collation and analysing of data relating to safeguarding adults referrals was undertaken by the Safeguarding Adults Support Officer. Quarterly reports were provided to the Safeguarding Adults Board, as part of our quality assurance framework to monitor and identify abuse and the outcomes of interventions.

### Analysis of the referrals shows

- > 254 referrals received, an increase of 20% increase from 2007/2008
- Increase in referrals relating to older people (42%), learning disability (27%) with decreases for physical disability (10%) and mental health (26%).
- > As per last year, the majority of alleged victims were women (65%. This however is a 10% increase compared to last year.
- ➤ In regards to the ethnicity of vulnerable adults: 53% were of white origin, 20% were of black origin, 7% were of Asian origin, 3% were of other ethnic origin. This trend reflects the same as that last year.
- > Financial abuse was the main type of alleged abuse referred followed by physical abuse.
- ➤ 156 referrals related to alleged abuse taking place in the client's own home.
- ➤ A high number of alleged perpetrators were related to the client (40%) followed by care professionals (14%),
- > 39% of completed cases were substantiated.
- > For substantiated cases, relatives, including spouses, were the main perpetrators followed by care professionals.
- The main outcome of substantiated cases for victims was increased monitoring.
- > There were 117 tabled multi-agency strategy meetings with 76 multi-agency strategy discussions, and 24 case conferences taking place.
- > 144 protection plans were developed, this included cases that were concluded as substantiated or inconclusive.
- There were no Serious Case reviews undertaken in the past year but there were six new establishment concerns meetings, with a number still ongoing.

A full copy of the Annual Statistical Report can be seen in Appendix 2

### 2. How Are We Protecting in Partnership: **Key Actions of 2008-2009**

### 2.1 Review of Work Plan 2008/2009

A review of Year one from the Three-year implementation Plan was undertaken at the joint business planning meeting of the Committee and Operational sub-group in January 2009. It reflected on the work that had been completed and that work that was still underway.

Completed work to date includes;

- Annual report was completed for 2008/09 year and presented to Overview and Scrutiny Committee with the support of the Board representatives in October 2008.
- Working groups membership identified with further consolidation of other agencies required
- Expansion of Safeguarding adults team
- Quality assurance framework developed and implemented
- Draft learning and development competencies developed
- Review of monitoring data collected and reported on

Items' remaining to be completed have been brought forward into the second year of the three-year implementation plan and is incorporated into this document.

The Safeguarding Adults Board ensured that relevant topics were discussed and if necessary action taken forward in regards to what was happening at the time.

The Board discussed the case of Baby 'P' in detail and will be looking at the recommendations from the Lord Laming report and government response to ascertain if there is anything that can be transferred to adult safeguarding.

The review of No Secrets consultation was a significant aspect of the Board's work in late 2008, and a comprehensive response to the consultation was made. Outcome from the consultation will be discussed with the Board when it is published.

It was recognised that an independent chair would assist the Board to take the Safeguarding agenda forward and the Board has agreed to the establishment of an independent chair.

### 2.2 Reports from Partner Agencies

Central North West London Mental Health Trust Completed by: Kingston Kamba (Clinical Risk Manager CNWL)

The CNWL Safeguarding Vulnerable Groups Group is the main forum within the Trust where issues involving vulnerable adults are discussed. The main remit of the group is to provide a forum for Local Authority Leads and CNWL staff involved in safeguarding vulnerable groups to meet on a regular basis in order to maintain continuous dialogue and collaboration. The group is also tasked to develop and monitor Trust wide standards in relation to safeguarding vulnerable adults and ensure staff are made aware of them. The Group is chaired by a Consultant Psychiatrist who is the Lead Clinician for Safeguarding Adults in the Trust. The group reports directly to the Clinical Governance Committee which is a sub-group of the Board of Directors. All the boroughs covered by CNWL are represented in this group which meets on a quarterly basis.

In the last year the group led an ambitious but very successful awareness raising training programme in collaboration with our local authority partners. The aim of this programme was to deliver awareness training for clinicians and staff working in CNWL in order to raise the profile of the safeguarding agenda and increase reporting figures. The Safeguarding Vulnerable Groups Group continues to work with all our local authority partners to ensure that CNWL staff are compliant with the local policies and that senior management including the CNWL Board are kept adequately briefed on any pertinent issues.

### **Brent Mental Health Services**

Brent Mental Health Services undertook Safeguarding Vulnerable Adults Investigators Training on the 1<sup>st</sup> April 2009.

The training was aimed at providing guidance to Mental Health practitioners who have been requested to undertake Safeguarding Vulnerable Adults investigations and how to manage these investigations.

The day provided an essential guide for Band 6 staff and above to understand the process of investigating safeguarding vulnerable adults concerns and how the procedure works alongside other processes that already exist within Mental Health Services and to be able to manage the overall investigation of safeguarding cases more effectively.

The training was attended by Senior Practitioners from the Community Teams, Ward Managers and the Clinical Services Manager from Park Royal.

### NHS Brent

- Brent Community services have worked closely with the Local Authority over the past year to improve joint working for safeguarding adults, regular attendance at the quarterly Safeguarding Board has improved relationships between all parties working towards safeguarding adults,
- The joint Annual Plan will now include a section on the protection of vulnerable adults within community services only (e.g. Willesden Hospital) the health elements of the plan will then be presented at the community services Governance Board,
- The corporate induction within Brent Community Services now includes a session on vulnerable adults: training and development have been working closely with the local authority to provide joint training sessions across health and social services,
- Electronic records will be held to record staff attendance
- Safeguarding training is now a mandatory requirement within community services,
- A joint post as designated officer for Deprivation of Liberty Safeguards was agreed by NHS Brent and appointed to,
- Community services are recruiting to a safeguarding post to monitor compliance with safeguarding adults across health services and in accordance with Standards for Better Health requirements,
- Joint briefing sessions are held across local authority and health sites,

- Compliance with CRB clearance has improved within community services, no staff member will take up post before clearance has been obtained without prior authorisation from the chief operating officer.
- The criminal records bureau policy within NHS Brent and Community Services was reviewed in April 2009 a will be reviewed on a three yearly basis,

### 2.3 Mental Capacity Act – Local Implementation Network

The Brent Local Implementation Network (LIN) for the Mental Capacity Act has focused on the Deprivation of Liberty Safeguards over the past year to ensure that the new systems are implemented for April 2009. There is a joint process agreed in conjunction with Brent NHS to cover administrative functions.

A number of Best Interest Assessors (BIA) have been trained in both the Local Authority and Brent NHS, with there being monthly BIA meetings to look at cases and discuss any issues as well as share good practice. There was a significant focus on ensuring that managing authorities (care homes and hospitals) as well as staff within the supervisory body (local authority and Brent NHS) were trained. Specific Authorised Officers training was provided for those Local Authority and Brent NHS staff that would be authorising requests for Deprivation of Liberty Safeguards. Appendix 1 shows attendance at training.

The appointment of a Senior Practitioner for MCA/DOLs has enabled there to be greater support to both LA staff and Brent NHS staff in this area.

The DOLS Monitoring and Review Subgroup will oversee the setting up and reporting of the DOLS Performance Framework.

The MCA LIN also took forward work in regards to the Mental Capacity Act and how best interest decisions were being recorded. The group agreed updated procedures and forms that reflected changes in legalisation as well as feedback from teams who were using the forms.

### **IMCA Contract**

The joint west London agreement, to which Brent are a party, has been extended with Cambridge House, to ensure that the IMCA requirements of the Deprivation of Liberty Safeguards are met.

Over the past year there have been 4 referrals to the IMCA service in relation to safeguarding adults issues. Overall there were 26 referrals overall to the IMCA service of which 13 were eligible.

### 2.4 Work of the Safeguarding Adults Coordinator

This post is key to the development of the Safeguarding Adults Board and the Safeguarding Adults Coordinator has continued to attend a number of forums within Brent, to ensure improved liaison. A key task as part of the overall quality assurance framework and governance arrangements involves preparation and presentation of the Safeguarding Adults Board report to the council's Overview and Scrutiny Committee (see section on governance).

She continues to be the Chair of the London Network of Safeguarding Adults Coordinators and now attends the Joint Improvement Partnership Meetings (joint ADASS/DoH) for Dignity and Safeguarding which reflects the importance of the Pan London group especially in light of proposed Pan London procedures.

### Safeguarding Adults Team

The Safeguarding Adults team was expanded in 2008 to include the post of senior practitioner safeguarding.

The unit will also be further strengthened with the position of Principal Manager-Safeguarding being established as part of Adult Social Care transformation, with the coordinator post being removed.

The development of the Senior Practitioner Safequarding post has ensured that there is a stronger focus on operational issues as well as supporting staff with complex cases. The post has been covered by an agency worker however; it is hoped to appoint permanently to the post shortly. The senior practitioner has also been able to undertake case audits as well as a service user audit an important aspect of the Quality Assurance Framework. He has also attended the monthly meetings undertaken with each adult social care area to monitor the safeguarding work.

The Safeguarding Adults team has continued to expand with the creation of two posts (Senior Practitioner and Support Officer) to take forward the Deprivation of Liberty Safeguards. The Senior Practitioner has focused on Deprivation of Liberty, ensuring the process has been set up to be implemented from April 09 and during the coming year will be also focusing on Mental Capacity Act in its wider sense with all agencies in Brent.

### 2.6 **Quality Assurance Governance Arrangement**

The Safeguarding Adults Board reported to the Local Authorities Overview and Scrutiny Committee as part of the overall quality framework in October 2008.

The Lead Member for Adults, Health and Social Care and the Safeguarding Adults Board represented by the Chair and Co-ordinator, with NHS Brent, Voluntary Sector and Police representatives presented issues from 2007/08 and responded to detailed questions from cross party members.

They made comments relating to the statistical analysis and their comments have been reflected in the updated monitoring for 2008/09 and briefings are being arranged for councillors re signs and reporting mechanisms.

### 2.7 **Strengthened Quality Framework Assurance**

The CSCI inspection undertaken in March 2008 found adequate arrangements however it found areas that required strengthening. Following the report a comprehensive action plan was developed and a key aspect of this was a strengthened quality assurance framework.

The quality assurance framework included;

- Quarterly case audits
- Head of Service monthly meeting in each service area
- Service User Survey
- Reports to Safeguarding Adult Board

### **Quarterly Case File Audits**

Following an initial audit undertaken by an external consultation the safeguarding adults team continued to undertake quarterly case audits. The audits looked at approximately 20% of cases from each service areas in each quarter. Over the year there was a significant improvement in a number of areas, including strategic planning, risk assessment and interim protection plans and multi-agency working. There continues to be work needed in the area of case conferences. The case audits have also highlighted the need to ensure that partner agencies are referring in a timely manner. The Safeguarding Action plan which resulted from the CSCI Inspection 2008, has been updated to reflect the findings of the case audits and identify ways to ensure continuous improvement.

### Head of Service monthly meetings

These meetings have ensured that there is strengthened management oversight of cases. It has also provided a formal opportunity for the a safeguarding adults team to meet on a regular basis and raise issues as well as discuss the outcomes from the quarterly audits.

### Service User Survey

It was agreed by the Safeguarding Adults Board that we would pilot the completion of a service user survey to gain a more in-depth understanding of the experience of service users in the safeguarding framework. A small sample of 5 service users across the all adult social care areas were spoken to. Overall the findings were very positive with the majority of service users feeling safer following the process and that they knew where to report future concerns to. Service user feedback on safeguarding adults cases will form an integral part of ongoing quality assurance of safeguarding and each quarter a sample of service users will be surveyed to determine their views on the process.

### Reports to the Safeguarding Board

Both the case audits and service user survey have been reported to the Safeguarding Adults Board as well as the Community Care Management team. As there is a significant impact on the Local Authority this aspect of the Board meeting is chaired by the vice-chair to provide independence. Quality Assurance is now a standing item on the Board's agenda.

### 2.8 Actions from National/London Developments

### No Secrets Review

The consultation review of No Secrets occurred Oct 08 –Jan 09. The Safeguarding Adults Board considered the consultation documents and submitted a detailed response. A government response is awaited at which time the Board will consider the implications.

### Deprivation of Liberty Safeguards

Deprivation of Liberty Safeguards came into force April 2009, and during the previous year there was presentation by Legal Services to the Board, as well as a number of briefings provided for all agencies in Brent.

### Pan London- Procedures

Pan London Safeguarding Adults are currently being developed. A consultation event in November 2008 had representation from the Safeguarding Board. The procedures have been placed on hold while a multi-agency London Editorial group is established to ensure that the procedures are fit for purpose.

### 3. How Are We Promoting Awareness and Preventing Abuse?

### 3.1 Learning and Development

To ensure that Safeguarding remains everyone's business we recognise the importance of continually promoting awareness.

A number of formal training courses were commissioned over the 2008/09 year. Appendix 3 shows a full breakdown of all the training courses.

The following courses were provided by the local authority with a number of courses being available for multi-agency partners; this data does not capture training that agencies themselves may have provided.

- Alerters training
- Briefings
- Independent sector managers
- Safeguarding Managers
- **Domestic Violence**
- Safeguarding Adults for administrators
- **Questioning Skills**
- Investigators.
- **Deprivation of Liberty**
- Mental Capacity Act

A total 1049 places were booked over all the courses and 702 participants attended courses, which is a 67% attendance rate Draft competencies for Safeguarding training have been developed and will be finalised by the Learning and Development sub-group.

The training programme for 2009/2010 can be seen in Appendix 3, which builds on the objective to improve the knowledge and understanding identifying and preventing abuse. An Advanced Investigators course has also been developed to enable practice development of current investigators. All contracted agencies are required to ensure their internal training reflects the Brent requirements and these are monitored through contract monitoring, as part of the quality assurance framework. The Safeguarding Adults Board will be undertaken an analysis of all training that takes place within partner agencies over the coming year.

### 3.2 Local Implementation Teams – Quality assurance with Partners

Safeguarding adults issues were discussed at the LITS on a regular basis. The review of No Secrets was discussed and agencies were also invited to feedback via the LIT to the Board's response. Reports were also made on 2008/09 annual report.

### 3.3 Awareness Raising with Communities in Brent

Strengthening awareness within community groups was a key element of the action plan following the CSCI Inspection. Over the past year there has been a focus on raising awareness with a number of different communities;

- Voluntary and community organisations
- · Users and carers
- · Historically 'seldom heard' group, including newly arrived Black and
- Minority Ethnic groups, and those of minority faiths
- Range of 'client' groups i.e. older people, people with learning, physical and sensory disabilities, people with mental health problems

During 2008/08, over 185 people have been spoken with across 8 events. Of the 8 sessions 5 were primarily BME organisations, three were faith organisations and one a refugee organisation. At a further two events leaflets and posters were provided to promote Safeguarding Adults. A range of comments and suggestions were made and these have been incorporated into an interim action plan which was agreed by the Safeguarding Adults Board in April 2009.

Some of the areas raised were:

- Defining abuse within a cultural context
- Translated leaflets
- Making a referral
- Indicators of abuse

Awareness raising sessions with the identified groups will continue over 2009/2010 and the findings will be reported to the Safeguarding Adults Board at frequent intervals.

### 4. What More Do We Need to Do? Year Two: Strategic Plan 2008 – 2010

A three year strategic plan was developed to cover the period 2008 -2009. It has enabled the Board to focus on longer term objectives to strengthen safeguarding work within the borough.

Year	Activity	Responsibility
2009/2010	April – audit by each organisation of its safeguarding adults work and reported to the Board for inclusion in the Annual Report	Partnership agency leads
	Review by the Committee of progress of the sub-groups and working groups  Annual reviews of joint	Leads of sub- groups and working groups Commissioning
	commissioning strategies by member organisations to ensure adequate/ appropriate references to safeguarding adults	members of the Board – reporting to the Board
	Review of progress in linking Safeguarding Adults work with other policies, strategies and plans in the borough	Board lead for this work
	Review of current policy and procedures in the light of further legislation and national guidance to determine what adjustments and local information and guidance is required	SA Co-ordinator + Board
From Year 1 (2008/0 9)	Set up Executive Committee	Board Members
	Set up working groups to review and revise strategies for:  (a) Commissioning of service for people who are at risk or have experienced abuse  (b) Prevention strategy to reduce risk of abuse  (c) Equal access	(a) Health and Social Care Commissioners, led by the Joint Commissioning Officer (b) and (c) Member of the Board to lead on each
	Each organisation identifies how it can and should involve users in safeguarding adult work within their organisation – and reports to the Board	Members of the Board
	Annual review of joint commissioning strategies by member organisations to ensure adequate/ appropriate references to safeguarding adults	Commissioning members of the Board – reporting to the Board

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# Appendix 1: Safeguarding Adults in Brent: Multi-agency training attendance 2008/09

urse	Date	Number Available	Number Booked	Number Attended	МН	LD	OPS	PD	Other	Private & Voluntary	Housing	EDT & Finance	OSS & QS	Care & Support
3A Alerters	20/06/08	14	23	18	_	4	4	1	_	9	_	-	- -	-
3A Briefing	26/06/08	40	60	44	<b> </b>	4	1	<u> </u>	1	38	_	_	_	_
ssion AM	20/00/00	10				ļ .	'		'					
3A Briefing ession PM	26/06/08	40	36	23	1	5	1	-	-	16	-	-	-	-
3A Questioning ssion AM	14/07/08	14	17	15	-	2	4	6	-	-	-	-	-	2
3A Questioning ssion PM	14/07/08	14	16	13	-	-	5	1	-	-	-	2	-	5
3A Briefing ession AM	23/07/08	40	47	32	1	7	1	1		21	-	1	-	-
3A Briefing ession PM	23/07/08	40	41	30	1	3	1	-	-	20	-	5	-	-
ental Capacity t: Understanding Application	24/07/08	16	25	22	16	4	-	1	-	-	-	-	1	-
3A Alerters	29/07/08	14	20	12	-	2	1	4	1	3	-	1	-	-
GA for dependent ector Managers	30/07/08	16	18	4	-	-	-	-	-	4	-	-	-	-
3A Briefing ession AM	08/09/08	40	48	25	-	2	-	-	-	20	-	-	3	-
3A Briefing ession PM	08/09/08	40	50	34	1	-	1	2	-	25		2	3	
3A Investigators	22/09/08	16	17	13	-	-	-	-	13	-	-	_	-	-
3A Alerters	25/09/08	14	16	9	-	3	2	-	-	-	-	3	1	-
3A Briefing ession AM	03/10/08	40	43	32	3	5	1	-	2	12	2	-	7	-
GA Briefing ssion PM	03/10/08	40	44	32	2	2	-	-	1	18	-	1	8	-
3A for Managers	10/10/08	16	13	7	-	-	-	-	-	-	-	-	-	7
3A Domestic	14/10/08	16	22	14	-	7	5	1	-	-	-	1	-	-

Violence														
SGA for	24/10/08	16	19	13	-	-	-	-	-	13	-	-	-	-
Independent														
ctor Managers														
ental Capacity	29/10/08	16	19	13	5	3	2	3	-	-	-	-	-	-
ental Capacity t: Understanding Application														
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ntal Capacity	05/11/08	16	16	16		12	-	1	<u> </u>	2	_		1	
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Application														
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t: Understanding														
Application	40/04/00	4.4	47			1	4					1		
3A Alerters	12/01/09	14	17	7	- 1	1	1 -	-	-	3	-	2	-	-
SA Adults	05/02/09	16	11	8	1	-	5	2	-	-	-	-	-	-
/estigators	11/02/09	16	20	12		2		3				_	7	
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Application														
3A Briefing	24/02/09	40	63	39	-   -	1	5	+_	1_	31		1	1	<del> </del>
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3A Briefing	24/02/09	40	53	33	-   -	-	6	1	1 -	26	_	_	-	-
3A Alerters	02/03/09	14	14	10	-	4	2			2	-	-	2	-
3A Alerters 3A Domestic 3lence 3A for 3dependent	04/03/09	16	11	7	3	-	4	-	-	-	-	-	-	-
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3A for	11/03/09	16	16	9	-	-	-	-	-	9	-	-	-	-
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ರತ್ರ Managers														

	SGA Briefing	12/03/09	14	10	8	-	-	-	-	-	-	-	-	8	-
	Session AM – OSS														
	JA Briefing	12/03/09	14	10	8				1_					Ω	
Cre	ssion PM - OSS	12/03/09	14	10	0		_		-	_		_		0	_
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e e	TAL		884	1049	702	43	98	85	36	19	330	2	22	50	16

# **Appendix 2: Annual Statistical Report**

### ntroduction

annual statistical report demonstrates trends gathered from Brent Safeguarding Adults referrals from 1 April 2008 to 31 March 2009. The ort makes comparisons from data collated this year and last year.

### indings

### **Number of Referrals**

s year has seen a large increase in the number of referrals received in Brent from 211 to 254 referrals, a 20% increase from last year. At time vriting, 182 cases have been concluded out of 254 referrals.

### **Alleged Vulnerable Adults**

Fre has been a steady increase in the number of older people referrals (42%), 113 referrals last year to 161 referrals this year. Referrals in the urning Disability category have seen a small increase by 27%. However, there has been a decrease in the number of Physical Disability errals by 10% and significant decline in Mental Health referrals by 26%.

trend continues this year as the majority of alleged vulnerable adults were women (65%).

h regards to vulnerable adults' ethnicity, 53% of victims were of white origin - 34% were white British; 25% were of black origin - 20% black ibbean and 14% were Asian origin - 7% Asian Indian. This trend remains the same as the previous year.

cases that have been substantiated, the main outcome for vulnerable adults was other and increased monitoring. Examples of the outcome er are: Further care management work to be carried out and alternative methods of transport considered for the vulnerable adult. Where there 3 no further action for the victim, there was an action for the perpetrator.

### **Types of Abuse**

majority types of abuse identified this year, was financial (31%), physical (30%), followed by psychological (18%) and neglect (13%). This identifies the same as last year. Some referrals showed more than one types of abuse.

### **Place of Abuse**

out of the 254 referrals showed that abuse had allegedly taken place in the vulnerable adult's own home followed by care home. This year's lings remain the same as the previous year. Please note that some referrals stated more than one place of abuse.

### 2e. Alleged Perpetrators

The report shows that there were 279 alleged perpetrators from 254 referrals. This figure is greater than the number of referrals as in some cases, re were more than one alleged perpetrators. A high number of alleged perpetrators were related to the vulnerable adults (29%), mainly sisting of sons and then daughters. The second highest group of alleged perpetrators were care professionals (14%). This trend is the same ast year.

; findings show that 48% of known alleged perpetrators were male and 31% female. The rest of the 21% alleged perpetrator's gender was not :ed, not known or not applicable.

substantiated cases, relatives including spouses were the main perpetrators, followed by care professional; this is the same trend as last year. main outcomes for perpetrators were: no further action followed closely by other. Examples of the outcome other are: perpetrator's name noved from power of attorney and case being investigated by public guardianship office. Where there was no further action for the perpetrator, re was an action for the victim.

### **/lulti-Agency Working**

m the cases completed this year, the follow demonstrates multi agencies working together to achieve the same outcome:

- 117 strategy meetings took place. Some cases had more than one strategy meetings.
- 76 Strategy discussions took place either over the telephone or by email.
- 24 case conferences took place.

### **Establishment Concerns**

ere were six new Establishment Concerns investigations initiated this year.

### Serious Case Reviews

re were no Serious Case Reviews undertaken in 2008-09.

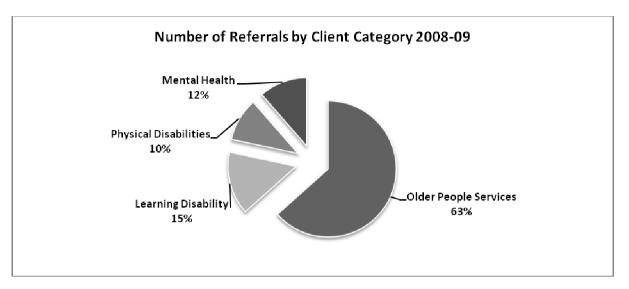
### **Summary of Findings**

- 39% of all completed cases have been substantiated and 32% not substantiated.
- Majority of referred cases reporting alleged abuse related to older people. This remains a continuous trend.
- The main type of abuse recorded was financial, followed by physical.
- A high number of abuse had taken place in the vulnerable adults own home.
- For substantiated cases relatives, including spouses were the main perpetrators, followed by care professionals; this is the same trend as last year.
- Main outcome for vulnerable adults was other, followed by increased monitoring.

# Safeguarding Referrals 2008-09

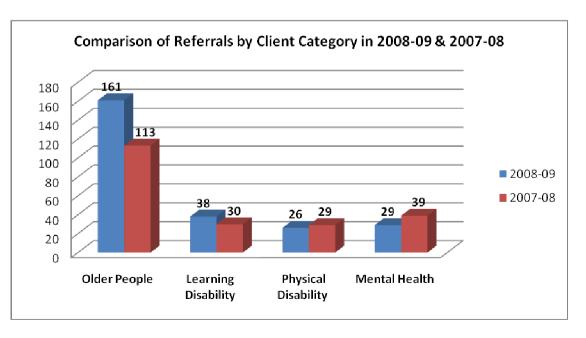
### Referrals by client category 2008-09

ent Category	Number of Referrals	% of Total
ler People Services	161	63%
arning Disability	38	15%
ysical Disabilities	26	10%
ntal Health	29	12%
tal	254	100%



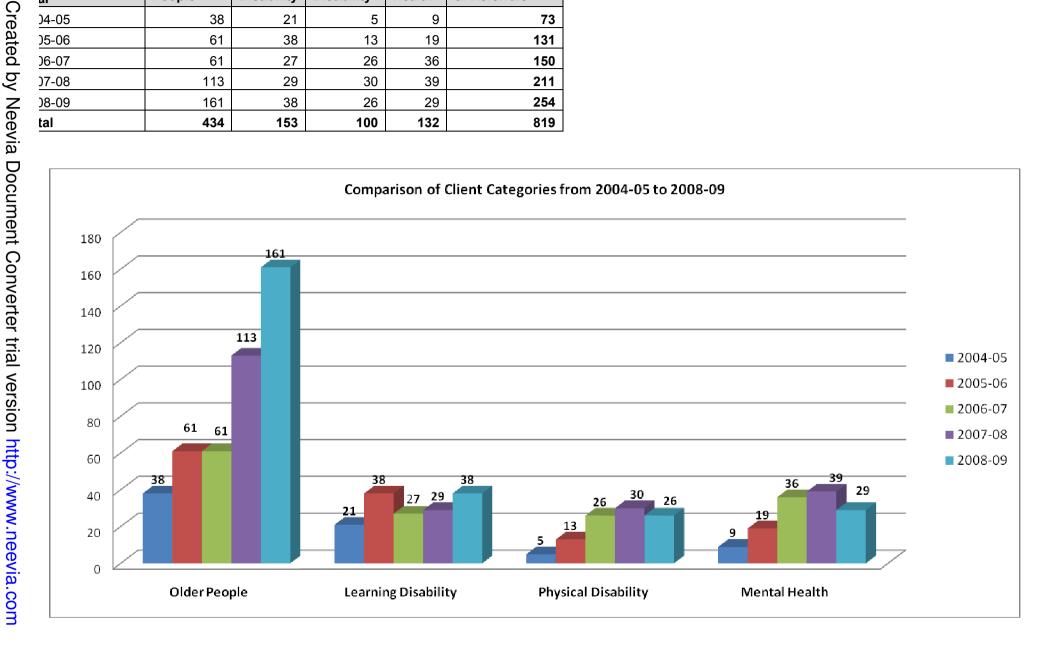
## al Number of Referrals by Client Category 2008-09 and 2007-08

	Older People	Learning Disability	Physical Disability	Mental Health	Total Number of Referrals
08-09	161	38	26	29	254
<b>)7-08</b>	113	30	29	39	211
rease/ crease referrals	48	8	-3	-10	43
rease/ crease %	42%	27%	-10%	-26%	20%

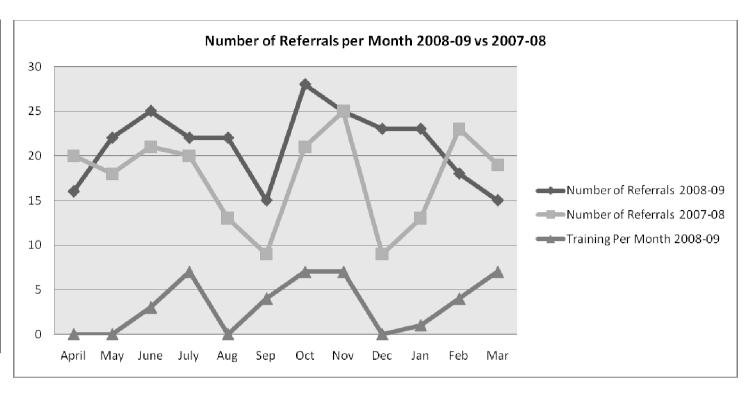


# Comparisons of Client Category since 2004-05 to 2008-09

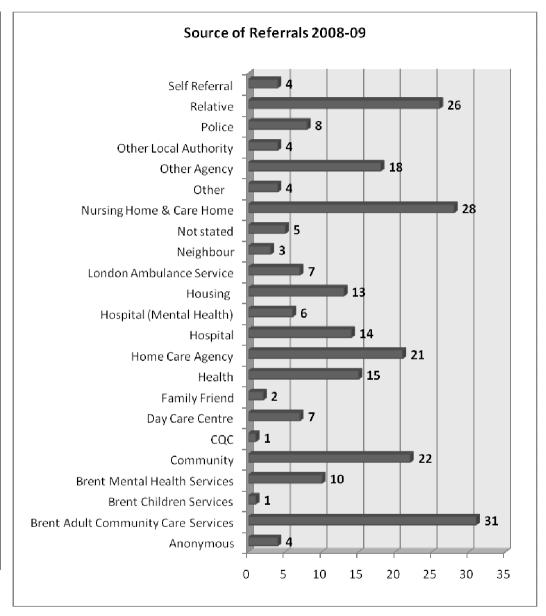
ar	Older People	Learning Disability	Physical Disability	Mental Health	Total Number of Referrals
04-05	38	21	5	9	73
)5-06	61	38	13	19	131
)6-07	61	27	26	36	150
)7-08	113	29	30	39	211
08-09	161	38	26	29	254
tal	434	153	100	132	819



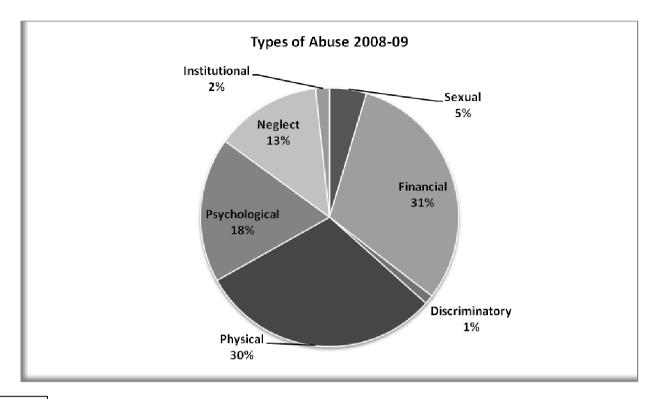
<b>l</b> onth	Number of Referrals 2008-09	Number of Referrals 2007-08	Training Per Month 2008-09
pril	16	20	0
lay	22	18	0
ıne	25	21	3
uly	22	20	7
ug	22	13	0
ер	15	9	4
)ct	28	21	7
OV	25	25	7
ес	23	9	0
an	23	13	1
eb	18	23	4
1ar	15	19	7
tal	254	211	40



urce of Referral	Number of Referrals	% of Total
onymous	4	2%
ent Adult Community Care	24	400/
rvices	31	12%
ent Children Services	1	0%
ent Mental Health Services	10	4%
mmunity	22	9%
(C	1	0%
y Care Centre	7	3%
mily Friend	2	1%
alth	15	6%
me Care Agency	21	8%
spital	14	6%
spital (Mental Health)	6	2%
using	13	5%
ndon Ambulance Service	7	3%
ighbour	3	1%
t stated	5	2%
rsing Home & Care Home	28	11%
ner	4	2%
ner Agency	18	7%
ner Local Authority	4	2%
lice	8	3%
lative	26	10%
f Referral	4	2%
tal	254	100%



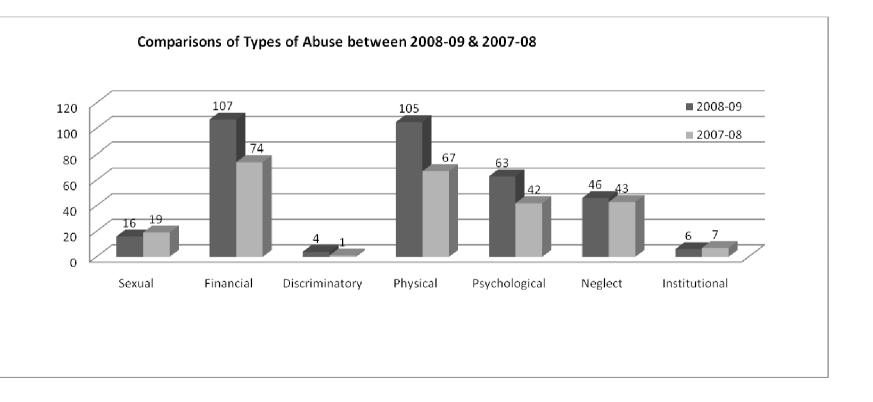
Total
16
107
4
105
63
46
6
347



me referrals had more than one type of use

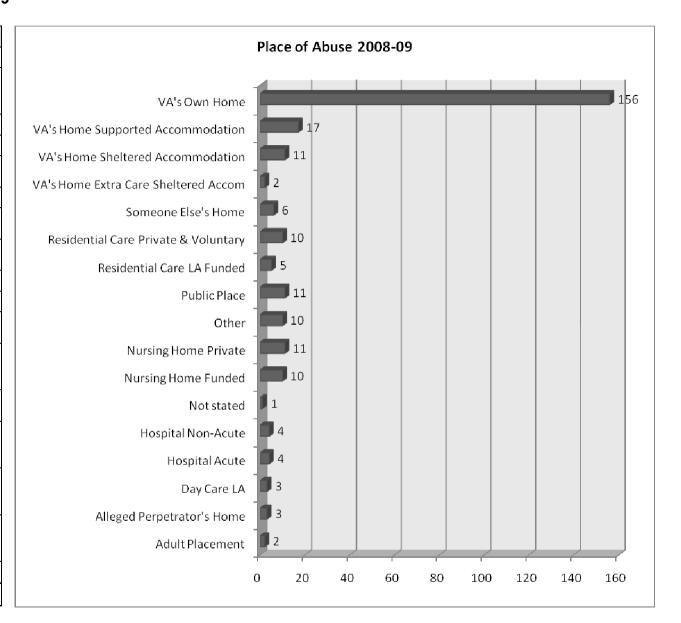
# Comparisons of Types of Abuse from This Year & Last Year

Ту	pes of Abuse	2008-09	2007-08	Types of Abuse 2008-09 %	Types of Abuse 2007-08 %
$\circ$					
õ	xual	16	19	5%	8%
Created	ancial	107	74	31%	29%
	criminatory	4	1	1%	0%
δV	ysical	105	67	30%	26%
Neevia	ychological	63	42	18%	17%
ě	glect	46	43	13%	17%
<u>a</u> .	titutional	6	7	2%	3%
Do	tal	347	253	100%	100%



### Place of Abuse 2008-09

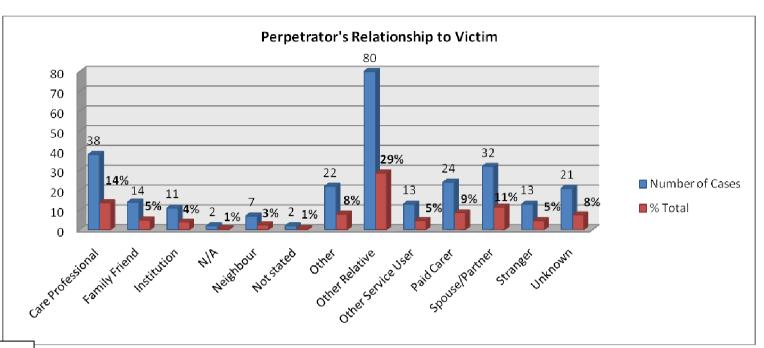
Place of Abuse	Total
ult Placement	2
∍ged	
rpetrator's	
me	3
y Care LA	3
spital Acute	4
spital Non-	
ute	4
t stated	1
rsing Home	
nded	10
rsing Home	
vate	11
ner	10
blic Place	11
sidential Care	
Funded	5
sidential Care	
vate &	40
untary	10
meone Else's me	6
's Home Extra	0
re Sheltered	
com	2
's Home	
eltered	
commodation	11
's Home	
pported	
commodation	17
's Own Home	156
tal	266
	_



me referrals had more than one ice of abuse

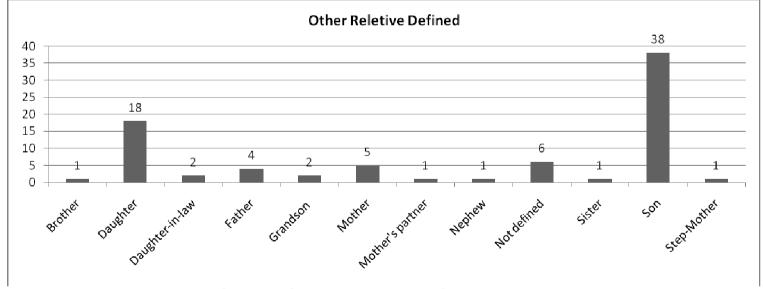
### Perpetrators Relationship to Vulnerable Adults 2008-09

Perpetrators	Total	%
lationship to VA		Total
re Professional	38	14%
mily Friend	14	5%
titution	11	4%
4	2	1%
ighbour	7	3%
t stated	2	1%
ner	22	8%
ner Relative	80	29%
ner Service User	13	5%
id Carer	24	9%
ouse/Partner	32	11%
anger	13	5%
known	21	8%
tal	279	100%

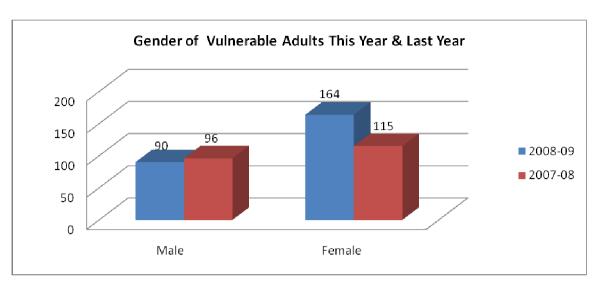


me referrals had more than perpetrator

ner Relatives Defined	Total
other	1
ughter	18
ughter-in-law	2
ther	4
andson	2
ther	5
ther's partner	1
phew	1
t defined	6
ter	1
n	38
:p-Mother	1
tal	80



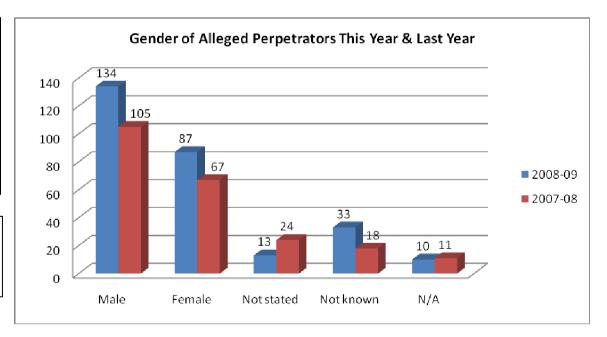
Gender	2008-09	2007-08	% of Total 2008-09	% of Total 2007-08
le	90	96	35%	45%
male	164	115	65%	55%
tal	254	211	100%	100%



### petrators Gender

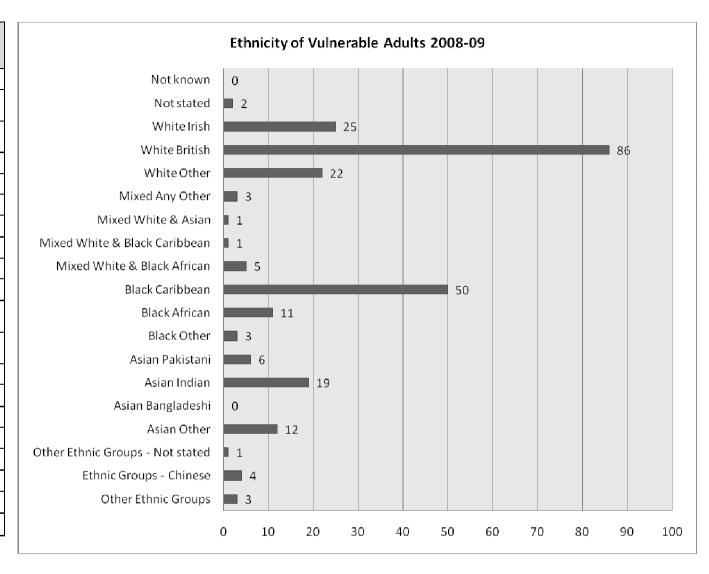
rpetrators nder	2008-09	2007-08	% of Total 2008-09	% of Total 2007-08
le	134	105	48%	47%
male	87	67	31%	30%
t stated	13	24	5%	11%
t known	33	18	12%	8%
4	10	11	4%	5%
tal	277	225	100%	100%

Total of Perpetrator's gender is two figures less than otal of perpetrator's relationship to vulnerable adult as nore than one type of relationship has been described n some referrals.



## **Ethnicity of Vulnerable Adults**

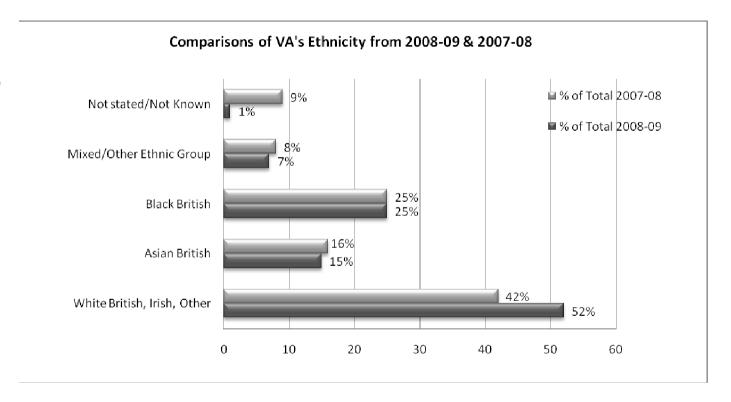
nnicity	2008-09	% of Total 2008-09
ner Ethnic Groups	3	1%
ınic Groups - inese	4	2%
ner Ethnic Groups - t stated	1	0%
an Other	12	5%
an Bangladeshi	0	0%
an Indian	19	7%
an Pakistani	6	2%
ck Other	3	1%
ck African	11	4%
ck Caribbean	50	20%
ced White & Black ican	5	2%
ced White & Black ribbean	1	0%
ced White & Asian	1	0%
ced Any Other	3	1%
nite Other	22	9%
nite British	86	34%
nite Irish	25	10%
t stated	2	1%
t known	0	0%
tal	254	100%



# Comparisons of Vulnerable Adults Ethnicity This Year and Last Year

### **Vulnerable Adults**

Inerable Adults	% of Total 2008-09	% of Total 2007-08
ite British, Irish, Other	52%	42%
an British	15%	16%
ck British	25%	25%
ced/Other Ethnic Group	7%	8%
t stated/Not Known	1%	9%
tal	100%	100%



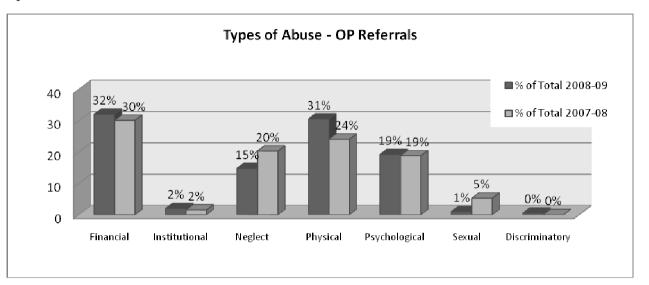
### Alleged Abuse against Older People

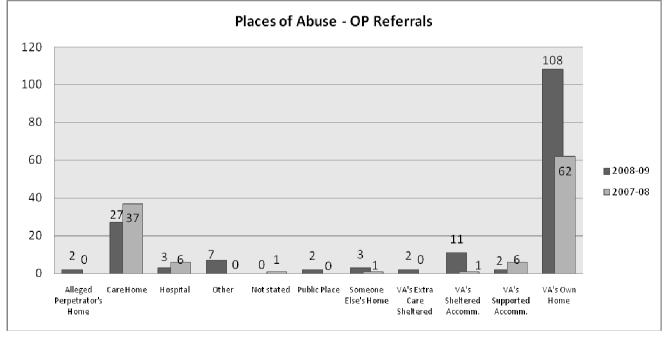
### referrals received out of 254 - 42% increase from last year

pes of use OP	2008-09	2007-08	% of Total 2008-09	% of Total 2007-08
nancial	65	40	32%	30%
titutional	4	2	2%	2%
glect	30	27	15%	20%
ysical	62	32	31%	24%
ychological	39	25	19%	19%
xual	2	7	1%	5%
criminatory	1	0	0%	0%
tal	203	133	100%	100%

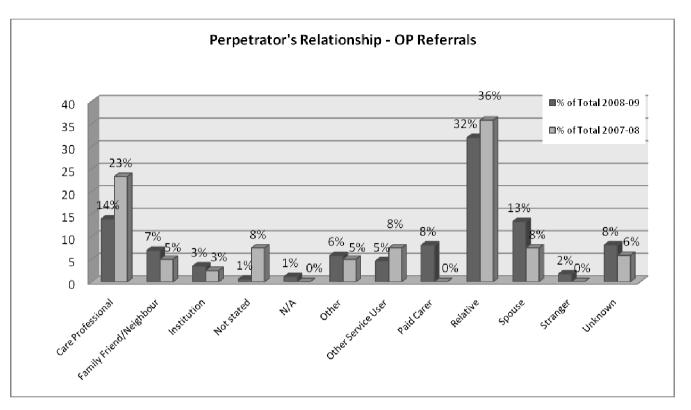
# Some referrals had more than one type of abuse

ices of Abuse OP	2008- 09	2007- 08	% of Total 2008-09	% of Total 2007-08
eged Perpetrator's			40/	00/
me	2	0	1%	0%
re Home	27	37	16%	32%
spital	3	6	2%	5%
ner	7	0	4%	0%
t stated	0	1	0%	1%
blic Place	2	0	1%	0%
meone Else's Home	3	1	2%	1%
's Extra Care eltered	2	0	1%	0%
's Sheltered comm.	11	1	7%	1%
's Supported comm.	2	6	1%	5%
's Own Home	108	62	65%	54%
tal	167	114	100%	100%





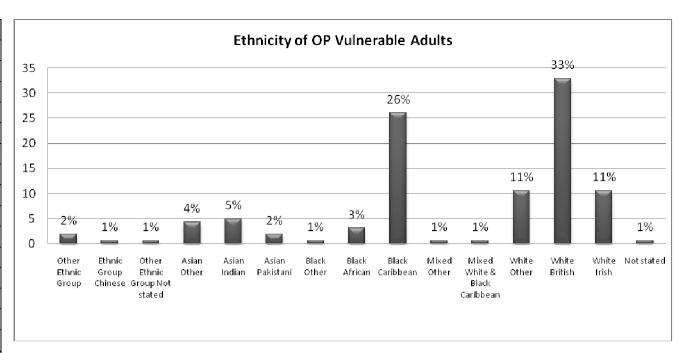
rpetrator's lationship to VA	2008- 09	2007- 08	% of Total 2008-09	% of Total 2007-08
re Professional	24	28	14%	23%
nily				
end/Neighbour	12	6	7%	5%
titution	6	3	3%	3%
t stated	1	9	1%	8%
4	2	0	1%	0%
ner	10	6	6%	5%
ner Service User	8	9	5%	8%
d Carer	14	0	8%	0%
ative	55	43	32%	36%
ouse	23	9	13%	8%
anger	3	0	2%	0%
known	14	7	8%	6%
tal	172	120	100%	100%



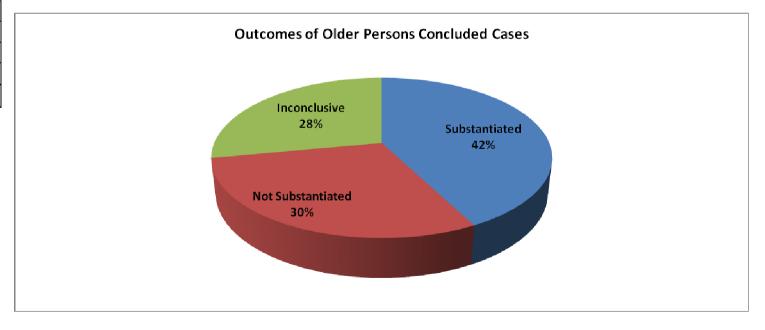
ome referrals had more than one perpetrator

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thnicity of Vulnerable Adult	Total	% Total
ther Ethnic Group	3	2%
hnic Group Chinese	1	1%
ner Ethnic Group Not stated	1	1%
an Other	7	4%
an Indian	8	5%
an Pakistani	3	2%
ck Other	1	1%
ck African	5	3%
ck Caribbean	42	26%
xed Other	1	1%
xed White & Black Caribbean	1	1%
nite Other	17	11%
nite British	53	33%
nite Irish	17	11%
t stated	1	1%
tal	161	100%

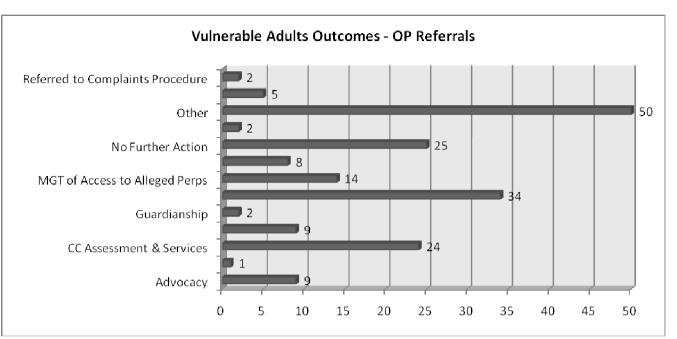


tcomes	Total	% Total
ostantiated	53	42%
t Substantiated	37	30%
onclusive	35	28%
tal	125	100%



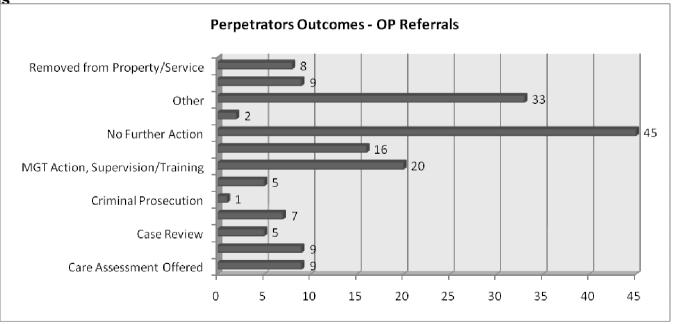
### **Main Outcomes for OP Vulnerable Adults**

A Outcomes	Total	% Total
vocacy	9	5%
il Action	1	1%
Assessment & Services	24	13%
unselling/Support	9	5%
ardianship	2	1%
reased Monitoring	34	18%
T of Access to Alleged Perps	14	8%
T of Access to Finances	8	4%
Further Action	25	14%
4	2	1%
ner	50	27%
moved from Property/Service	5	3%
ferred to Complaints Procedure	2	1%
tal	185	100%



in Outcomes for Perpetrators in OP Referrals

Catecinec ici i cipotiatore iii ci i toicii					
rpetrators Outcomes	Total	% Total			
re Assessment Offered	9	5%			
Assessment & Services	9	5%			
se Review	5	3%			
unselling/Support	7	4%			
minal Prosecution	1	1%			
ciplinary Action	5	3%			
3T Action, Supervision/Training	20	12%			
3T of Access to VA	16	9%			
Further Action	45	27%			
4	2	1%			
ner	33	20%			
lice Action	9	5%			
moved from Property/Service	8	5%			
tal	169	100%			



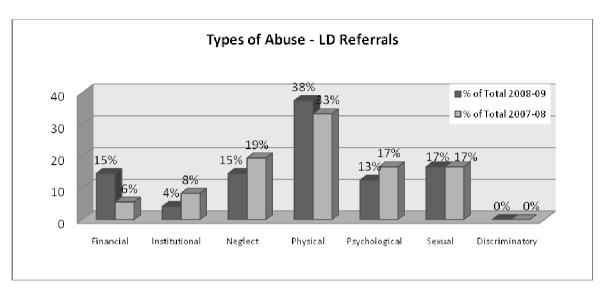
## Alleged Abuse against Adults with Learning Disability

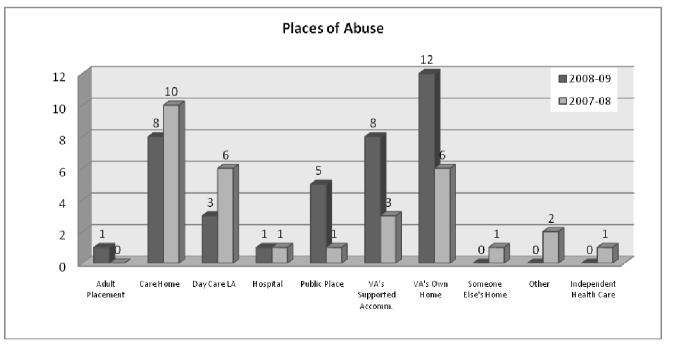
### 38 referrals received out of 254 - 27% increase from last year

pes of Abuse	2008-09	2007-08	% of Total 2008-09	% of Total 2007-08
ancial	7	2	15%	6%
titutional	2	3	4%	8%
glect	7	7	15%	19%
ysical	18	12	38%	33%
ychological	6	6	13%	17%
xual	8	6	17%	17%
criminatory	0	0	0%	0%
tal	48	36	100%	100%

### me referrals had more than one type of abuse

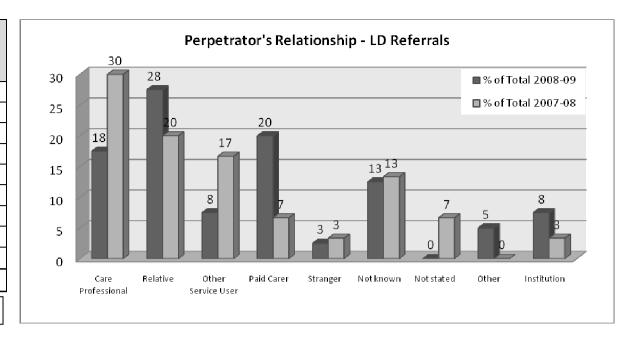
ices of use LD	2008- 09	2007- 08	% of Total 2008-09	% of Total 2007-08
ult Placement	1	0	3%	0%
re Home	8	10	21%	32%
y Care LA	3	6	8%	19%
spital	1	1	3%	3%
blic Place	5	1	13%	3%
's Supported comm.	8	3	21%	10%
's Own Home	12	6	32%	19%
meone Else's me	0	1	0%	3%
ner	0	2	0%	6%
ependent alth Care	0	1	0%	3%
tal	38	31	100%	100%



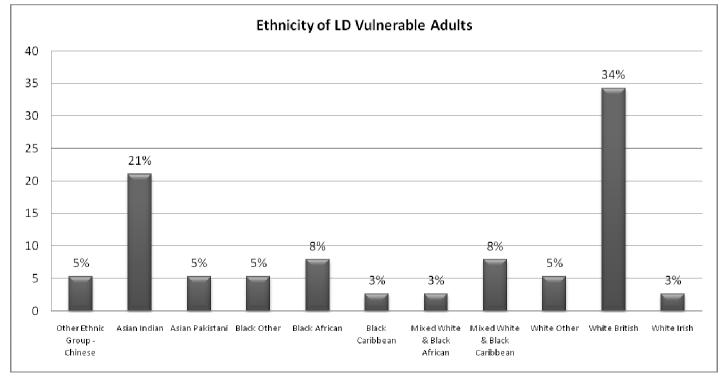


erpetrator's	2008- 09	2007- 08	% of Total 2008- 09	% of Total 2007- 08
re Professional	7	9	18%	30%
ative	11	6	28%	20%
ner Service User	3	5	8%	17%
d Carer	8	2	20%	7%
anger	1	1	3%	3%
t known	5	4	13%	13%
t stated	0	2	0%	7%
ner	2	0	5%	0%
titution	3	1	8%	3%
tal	40	30	100%	100%



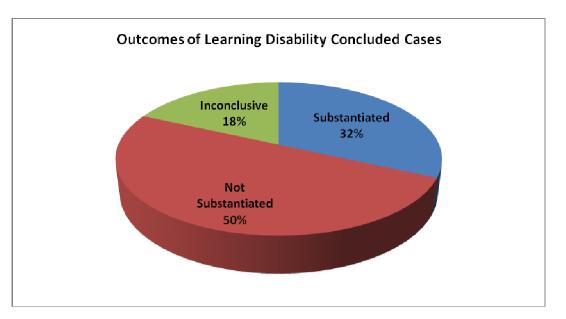


nicity of		%
Inerable Adult	Total	Total
ner Ethnic Group -		
nese	2	5%
an Indian	8	21%
an Pakistani	2	5%
ck Other	2	5%
ck African	3	8%
ck Caribbean	1	3%
xed White & Black		
ican	1	3%
xed White & Black		
ribbean	3	8%
nite Other	2	5%
nite British	13	34%
nite Irish	1	3%
tal	38	100%



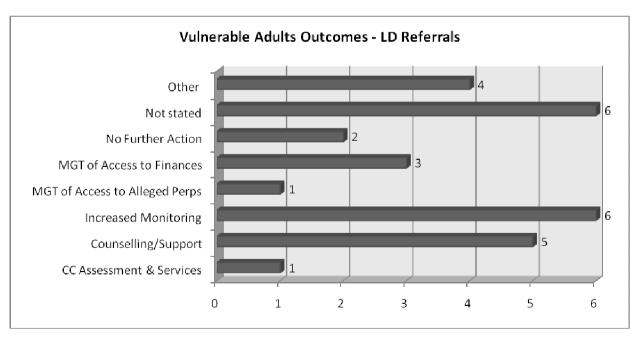
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		%
Outcomes	Total	Total
Substantiated	7	32%
t Substantiated	11	50%
onclusive	4	18%
tal	22	100%

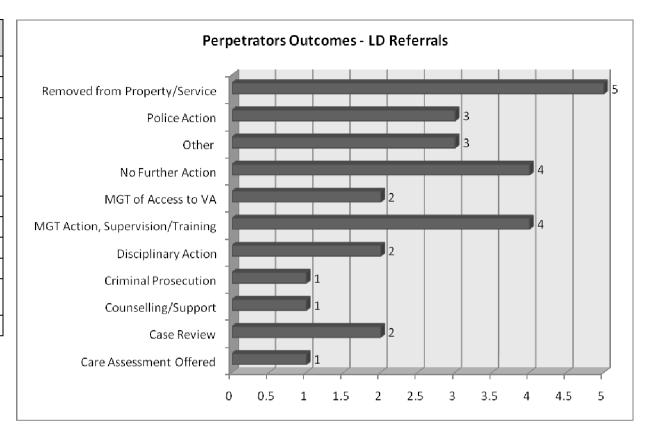


# in Outcomes for LD Vulnerable Adults

		%
Outcomes	Total	Total
Assessment &		
vices	1	4%
unselling/Support	5	18%
reased Monitoring	6	21%
T of Access to		
eged Perps	1	4%
T of Access to		
ances	3	11%
Further Action	2	7%
t stated	6	21%
ner	4	14%
tal	28	100%



		%
rpetrators Outcomes	Total	Total
re Assessment Offered	1	4%
se Review	2	7%
unselling/Support	1	4%
minal Prosecution	1	4%
ciplinary Action	2	7%
T Action,		
pervision/Training	4	14%
3T of Access to VA	2	7%
Further Action	4	14%
ner	3	11%
ice Action	3	11%
moved from		
perty/Service	5	18%
tal	28	100%



# Alleged Abuse against Adults with Physical Disability

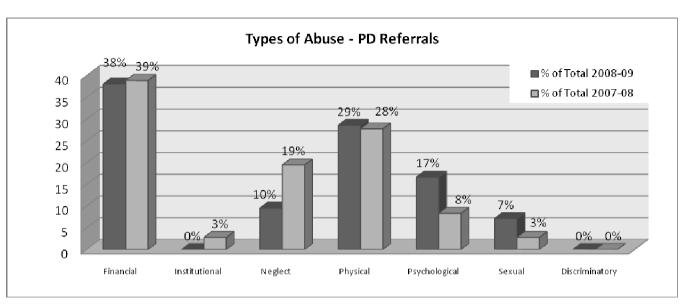
### 26 referrals received out of 254 - 10% decrease from last year

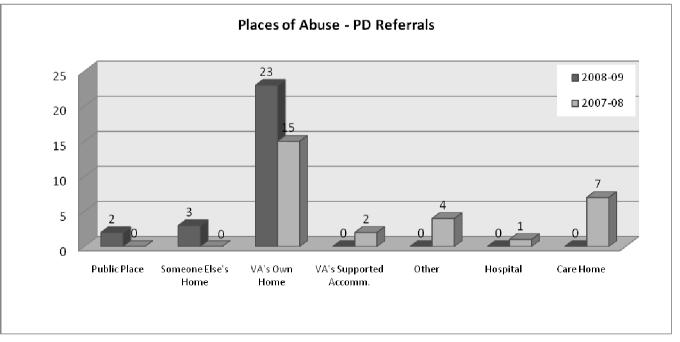
pes of Abuse	2008-09	2007-08	% of Total 2008- 09	% of Total 2007- 08
ancial	16	14	38%	39%
titutional	0	1	0%	3%
glect	4	7	10%	19%
ysical	12	10	29%	28%
ychological	7	3	17%	8%
xual	3	1	7%	3%
criminatory	0	0	0%	0%
tal	42	36	100%	100%

ome referrals had more than one type of abuse

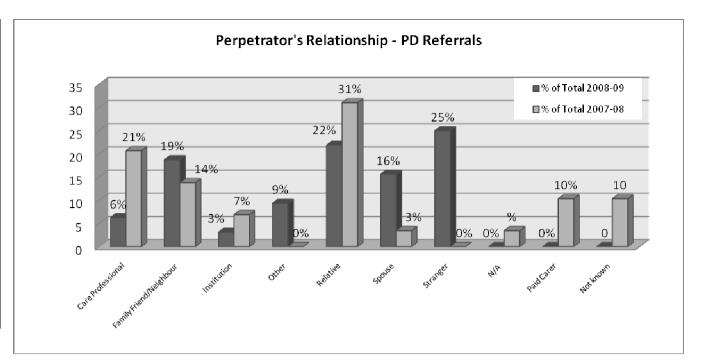
ices of use PD	2008-09	2007-08	% of Total 2008- 09	% Total 2007- 08
blic Place	2	0	7%	0%
neone Else's				
me	3	0	11%	0%
's Own Home	23	15	82%	52%
's Supported				
comm.	0	2	0%	7%
ner	0	4	0%	14%
spital	0	1	0%	3%
re Home	0	7	0%	24%
tal	28	29	100%	100%

ome referrals had more than one referral



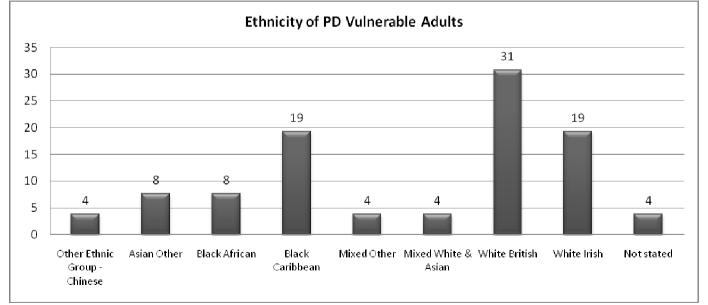


erpetrator's elationship to	2008-09	2007-08	% of Total 2008- 09	% Total 2007- 08
re Professional	2	6	6%	21%
nily end/Neighbour	6	4	19%	14%
titution	1	2	3%	7%
ner	3	0	9%	0%
ative	7	9	22%	31%
ouse	5	1	16%	3%
anger	8	0	25%	0%
4	0	1	0%	3%
d Carer	0	3	0%	10%
t known	0	3	0%	10%
tal	32	29	100%	100%

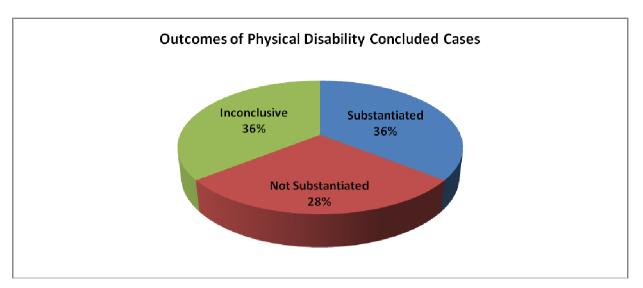


# ome referrals had more than one perpetrator

inicity of		%
Inerable Adult	Total	Total
ner Ethnic Group -		
nese	1	4%
an Other	2	8%
ck African	2	8%
ck Caribbean	5	19%
xed Other	1	4%
xed White & Asian	1	4%
nite British	8	31%
nite Irish	5	19%
t stated	1	4%
tal	26	100%

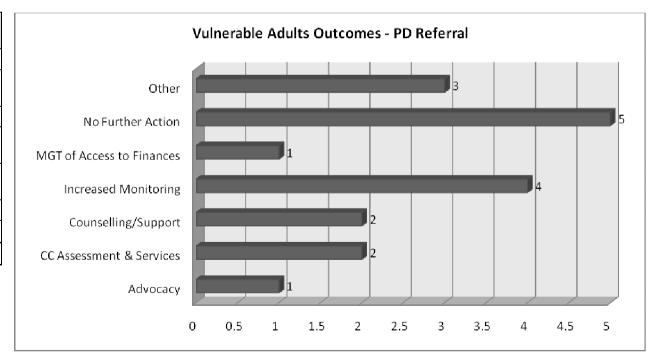


		%
utcomes	Total	Total
ostantiated	5	36%
t Substantiated	4	28%
onclusive	5	36%
tal	14	100%



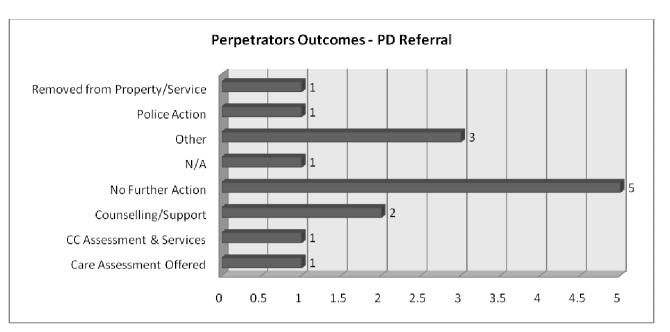
# in Outcomes for PD Vulnerable Adults

		%
Outcomes	Total	Total
vocacy	1	6%
Assessment &		
vices	2	11%
unselling/Support	2	11%
reased		
nitoring	4	22%
T of Access to		
ances	1	6%
Further Action	5	28%
ner	3	17%
tal	18	100%



# **Main Outcomes for Perpetrators in PD Referrals**

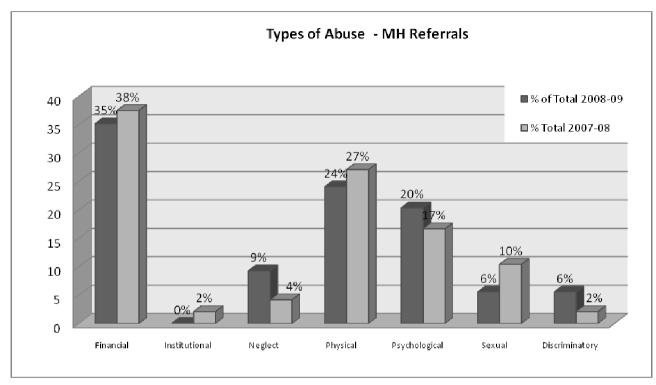
		%
rpetrators Outcomes	Total	Total
re Assessment		
ered	1	7%
Assessment &		
vices	1	7%
unselling/Support	2	13%
Further Action	5	33%
4	1	7%
ner	3	20%
lice Action	1	7%
moved from		
perty/Service	1	7%
tal	15	100%



# Alleged Abuse against Adults with Mental Health Condition

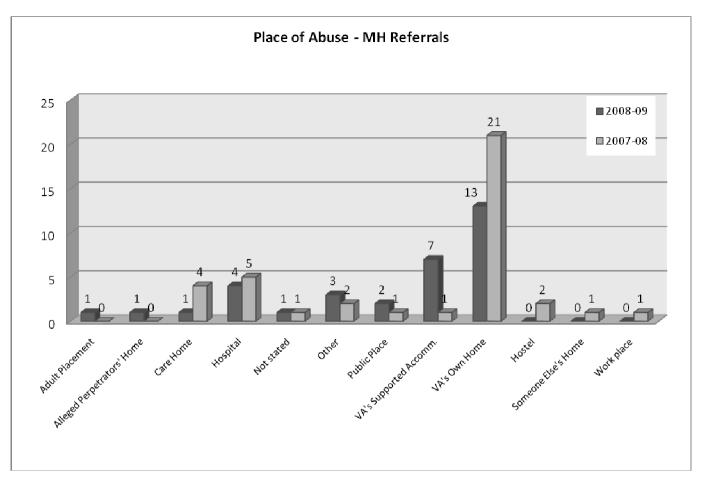
### 29 referrals received out of 254 - 26% decrease from last year

pes of use MH	2008- 09	2007 -08	% of Total 2008- 09	% of Total 2007- 08
ancial	19	18	35%	38%
titutional	0	1	0%	2%
glect	5	2	9%	4%
ysical	13	13	24%	27%
ychological	11	8	20%	17%
xual	3	5	6%	10%
criminatory	3	1	6%	2%
tal	54	48	100%	100%



ome referrals had more than one type f abuse.

laces of	2008-09	2007-08	% of Total 2008-09	% of Total 2007- 08
ult	2000-09	2007-00	2000-09	00
cement	1	0	3%	0%
eged				
rpetrators'				
me	1	0	3%	0%
re Home	1	4	3%	10%
spital	4	5	12%	13%
t stated	1	1	3%	3%
ner	3	2	9%	5%
blic Place	2	1	6%	3%
's				
ported				
comm.	7	1	21%	3%
's Own				
me	13	21	39%	54%
stel	0	2	0%	5%
neone				
e's Home	0	1	0%	3%
ork place	0	1	0%	3%
tal	33	39	100%	100%



ome referrals had more than one place of buse

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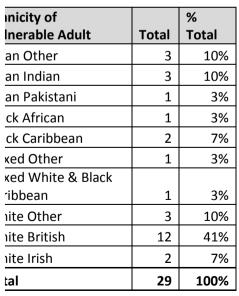
	erpetrator's elationship to	2008- 09	2007- 08	% of Total 2008- 09	% of Total 2007- 08
Ć	re Professional	5	9	14%	20%
	end/Neighbour	3	3	9%	7%
5	titution	1	1	3%	2%
	ner	7	0	20%	0%
•	ner Service				
2	er	2	4	6%	9%
	t stated	1	3	3%	7%
<u>.</u>	d Carer	2	0	6%	0%
7	ative	7	13	20%	28%
2	ouse	4	3	11%	7%
}	anger	1	6	3%	13%
) ) ) )	t known	2	4	6%	9%
)	tal	35	46	100%	100%

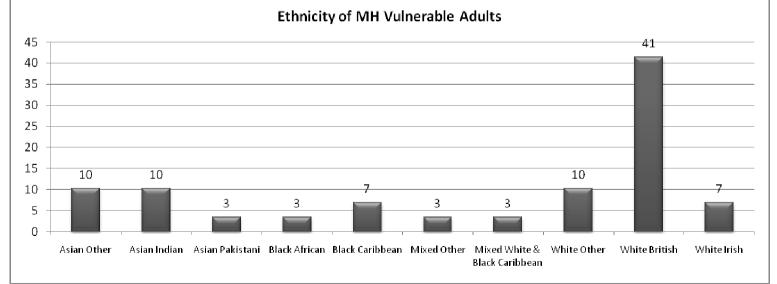
ome referrals had more than one expetrator

# Perpetrator's Relationship - MH Referrals 28 25 20 15 10 5 0 28 7% 6% 7% 6% 3% 2% 0green designation of the state of the

■% of Total 2008-09
■% Total 2007-08

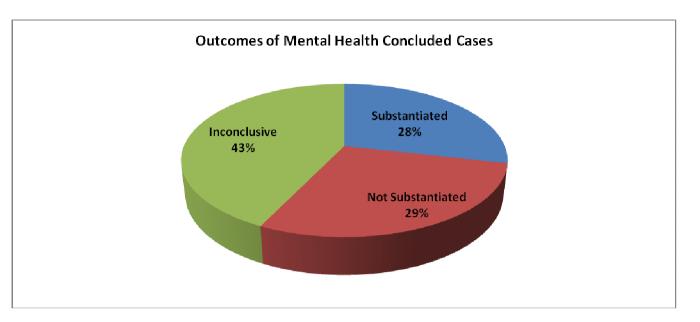
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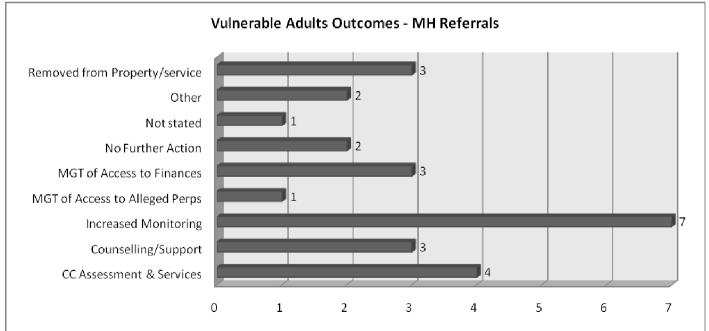
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		%
utcomes	Total	Total
ostantiated	6	28%
t		
ostantiated	6	29%
onclusive	9	43%
tal	21	100%

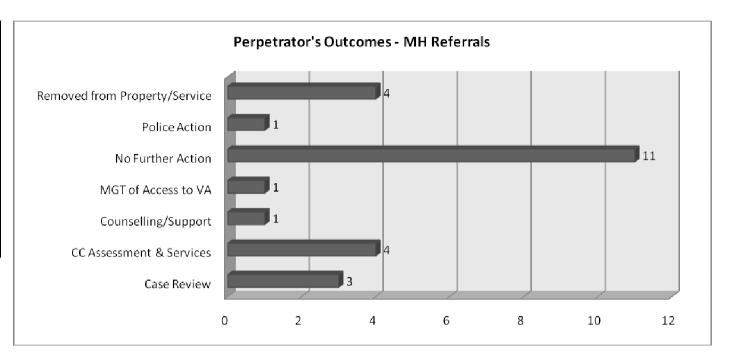


### in Outcomes for MH Vulnerable Adults

		%
Outcomes	Total	Total
Assessment &		
vices	4	15%
unselling/Support	3	12%
reased		
nitoring	7	27%
T of Access to		
eged Perps	1	4%
T of Access to		
ances	3	12%
Further Action	2	8%
t stated	1	4%
ner	2	8%
moved from		
perty/service	3	12%
tal	26	100%

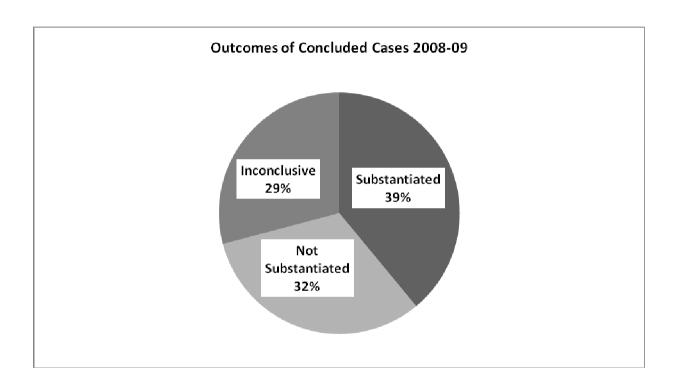


erpetrators		%
tcomes	Total	Total
se Review	3	12%
Assessment &		
vices	4	16%
unselling/Support	1	4%
3T of Access to VA	1	4%
Further Action	11	44%
ice Action	1	4%
moved from		
perty/Service	4	16%
tal	25	100%



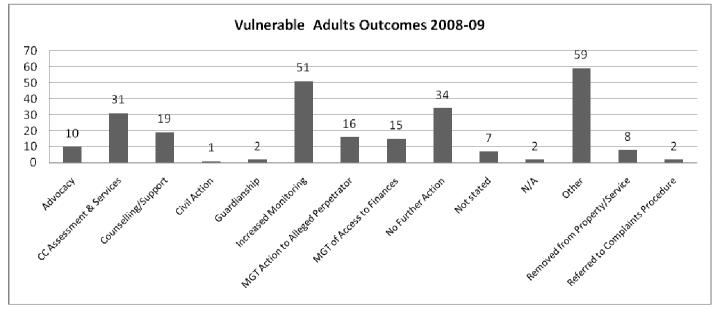
# **Case Conclusions of All Completed Cases**

Outcome	Total	% Total
Substantiated	71	39%
Not Substantiated	58	32%
Inconclusive	53	29%
Total	182	100%

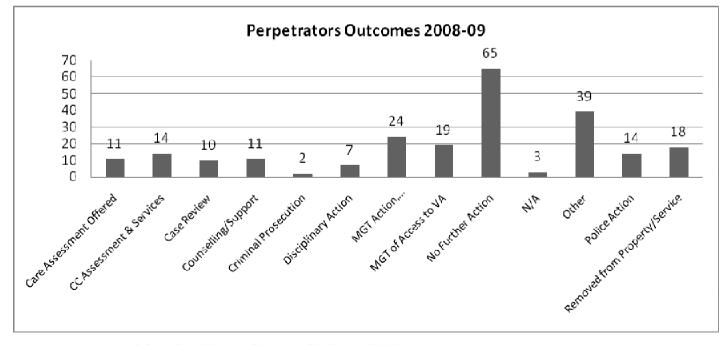


out of 254 cases have been concluded. Therefore 72 cases are yet to be concluded at the time of compiling the report.

VA Outcomes	Total	% Total
Advocacy	10	4%
CC Assessment & Services	31	12%
Counselling/Support	19	7%
Civil Action	1	0%
Guardianship	2	1%
Increased Monitoring	51	20%
MGT Action to Alleged Perpetrator	16	6%
MGT of Access to Finances	15	6%
No Further Action	34	13%
Not stated	7	3%
N/A	2	1%
Other	59	23%
Removed from Property/Service	8	3%
Referred to Complaints Procedure	2	1%
Total	257	100%



Perpetrators Outcomes	Total	% Total
Care Assessment Offered	11	5%
CC Assessment & Services	14	6%
Case Review	10	4%
Counselling/Support	11	5%
Criminal Prosecution	2	1%
Disciplinary Action	7	3%
MGT Action, Supervision/Training	24	10%
MGT of Access to VA	19	8%
No Further Action	65	27%
N/A	3	1%
Other	39	16%
Police Action	14	6%
Removed from Property/Service	18	8%
Total	237	100%



COURSE	OBJECTIVES	TARGET GROUP
3riefings ½ day	<ul> <li>Acknowledge the existence of abuse</li> <li>Recognise at the different types of abuse</li> <li>To be aware of the Brent multi-agency policy and procedures, including referral processes</li> </ul>	All staff in private and voluntary sector and partner agencies
<b>Alerters</b> day	<ul> <li>Recognise signs and symptoms of abuse</li> <li>Understand the impacts on vulnerable adult</li> <li>Know how to raise an alert and have an overview of the safeguarding process</li> <li>Understand roles and responsibilities under Brent's multi-agency policy and procedures, including whistle blowing</li> <li>Understand the role of CSCI</li> </ul>	All staff health and social care, housing and the voluntary sector
Safeguarding nvestigators Course	<ul> <li>Understand procedures involved in an adult abuse investigation</li> <li>Understand the purpose of strategy meetings and case conferences</li> <li>Develop appropriate interviewing skills</li> <li>Consider the importance of risk assessment in investigations</li> <li>Understand the importance of recording, monitoring and reviewing</li> </ul>	Staff responsible for investigating safeguarding referrals
Safeguarding Advanced nvestigators Course day Course	<ul> <li>Reflect on current cases and consider areas of improvement</li> <li>Understand the interface between risk assessment and protection plans</li> <li>Understand the importance of service user involvement</li> <li>Understand the importance of multi-agency working</li> <li>Understand the importance of recording, monitoring and reviewing</li> </ul>	Staff who are responsible for investigating referrals
Safeguarding Managers Responsibilities & Chairing Adult Protection Meetings day	<ul> <li>Understand their management responsibilities in the local safeguarding adult policy and procedures</li> <li>Understand the purpose of the strategy meeting and case conference</li> <li>Look at convening and chairing these meetings</li> <li>Examine the role of different agencies at the meetings</li> </ul>	Managers and senior practitioners who will act as Safeguarding Managers

Safeguarding Managers Responsibilities & `airing Adult otection Meetings	<ul> <li>Understand their management responsibilities in the local safeguarding adult policy and procedures</li> <li>Understand the purpose of the strategy meeting and case conference</li> <li>Look at convening and chairing these meetings</li> <li>Examine the role of different agencies at the meetings</li> </ul>	Managers and senior practitioners who will act as Safeguarding Managers
vareness Training Administrators  1 day	<ul> <li>Overview of abuse, including different types of abuse</li> <li>Promote awareness of Brent's multi-agency policy and procedures</li> <li>Understanding the need for clear minutes to and a set format for strategy meetings and case conferences.</li> <li>Guidelines on how to take accurate minutes</li> </ul>	All administration staff in community care services
mestic Violence	<ul> <li>◆ Raise awareness of the impact of domestic violence on vulnerable adults</li> <li>◆ Understand the relationship between domestic violence and safeguarding procedures</li> <li>◆ Increase understanding of how to best offer help and support</li> </ul>	Staff from social care units
feguarding Adults: propriate lestioning chniques  ½ day	<ul> <li>To explore why some types and styles of questions may be necessary</li> <li>To clarify why some types of questioning may be inappropriate</li> <li>To provide staff with an opportunity to develop skills in providing appropriate responses when abuse is suspected or disclosed</li> <li>Promote awareness of Brent's policy and procedures</li> </ul>	All staff in community services